



Mi Jung KIM

Korean Attorney

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Ms. Mi Jung Kim is a partner in the Financial Regulation Group. Her primary practice focus is on financial regulation, financial disputes and capital markets. Since 2006, Ms. Kim has regularly advised financial institutions and companies in capital market related matters, including securities transactions, asset management and disclosure obligations. She also served with the Financial Supervisory Service (FSS), representing the FSS in numerous financial regulatory and litigation matters.

From 2008 to 2011, Ms. Kim was in-house legal counsel for NH Investment & Securities (formerly Woori Investment & Securities), advising on a wide range of matters related to financial institutions, including securities acquisitions, IPOs, PFs and disputes related to sales of financial investment instruments.

From 2011 to 2021, Ms. Kim served with the FSS, focusing on diverse legal issues related to financial supervisory laws and regulations, such as the Capital Markets Act. She advised on matters related to audits and sanctions of asset management companies, investment advisory companies, and cooperative financial institutions, while serving in the FSS' Asset Management Examination Department and Cooperative Finance Examination Department; she also managed and supervised the FSS' litigations and advised on restrictions, approvals and permits on business activities of financial investors within the FSS' Legal Affairs Department; and she advised on corporate disclosures, including reports on material facts, disclosures of issuance information, large shareholdings reports and return of short-swing profits, within the FSS' Corporate Disclosures Department. She also regularly lectured on corporate disclosures at the Korea Listed Companies Association and the KOSDAQ Listed Companies Association.

Ms. Kim holds a B.A. in Education from Seoul National University.

She is a member of the Korean Bar.

Education

2001 Seoul National University (B.A. in Education)

Experience

2021-Present	JIPYONG LLC
2024-Present	Legal Advisor, Business Rationalization Support Advisory Council, National Credit Union Federation of Korea
2023-Present	Member, ESG Management Committee, Korea Inclusive Finance Agency
2022-Present	Director, Financial Lawyers Association, Korean Bar Association
2022-Present	Expert Advisor, the Committee of the Mediation of Financial Disputes, Financial Supervisory Service
2020-2021	Attorney, Corporate Disclosure Team, Corporate Disclosure Department, FSS
2017-2020	Attorney, Examination Planning Team, Cooperative Finance Examination Department, FSS
2014-2016	Attorney, Legal Affairs Department, FSS
2011-2014	Attorney, Examination Planning Team, Asset Management Examination Department, FSS
2008-2011	Attorney, NH Investment & Securities (formerly, Woori Investment & Securities Co., Ltd.)
2006	Judicial Research and Training Institute, Supreme Court of Korea

Notable Achievements

- Advised financial holding companies, banks, and credit finance companies on the introduction of governance structures
- Advised securities firms on the establishment of compliance management systems
- Advised on the response to financial regulatory sanctions against a domestic securities firm in connection with Optimus Fund
- Advised on the response to financial regulatory sanctions against a domestic bank in connection with Lime Fund
- Advised on the response to Financial regulatory inspections of domestic securities firms involved in CFD
- Advised asset management companies on responding to examinations and sanctions by financial supervisory authorities
- Advised on the compensation plan for in private equity investors
- Advised on the enactment of the standard internal control standards for financial consumer protection and standard financial consumer protection standards related to the protection of financial consumers by the Credit Finance Association and the Central Council of Savings Banks
- Advised savings banks on the establishment of internal control systems in preparation for the enforcement

of the Financial Consumer Protection Act

- Advised a number of financial companies and fintech firms on financial regulatory laws, including the Capital Markets Act, the Financial Consumer Protection Act, etc.
- Advised an art sculpture business operator Together Art on reorganization of business structure and issuance of investment contract securities
- Conducted research projects for the National IT Industry Promotion Agency on the ‘Legal Framework Study for NFT Regulation Improvement and Blockchain Promotion in 2022’ and ‘Research on Global Expansion Strategies and Regulatory Innovation for Blockchain Diffusion in 2023’
- Provided numerous legal services on regulatory issues, including the virtual asset status and securities classification of tokens and NFTs
- Advised domestic listed companies and prospective IPO companies on disclosure regulations such as disclosure of issuance, reporting of large shareholdings, and reporting of ownership by executives and major shareholders
- Advised on the response to unfair trade investigations by the Financial Supervisory Service against officers of domestic listed companies
- Advised on the response to accounting supervision of Financial Supervisory Service of domestic public companies

Honors and Recognitions

2024	Leading Lawyer in Securities and Finance Practice, Legal Times
2016	Financial Supervisory Service Governor’s Citation

Speaking Engagements and Publications

2023	Financial Consumer Protection Act Commentary (Co-author), Pakyoungsa
2022	Financing and Real Estate Transactions in Korea: Legal Issues and Market Practice (Co-author), Pakyoungsa

Bar Admissions & Qualifications

2006	Korea
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Languages

Korean / English

