



# Mi Jung KIM

Korean Attorney

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Ms. Mi Jung Kim is a partner in the Finance Group. Her primary practice focus is on financial regulation, financial disputes and capital markets. Since 2006, Ms. Kim has regularly advised financial institutions and companies in capital market related matters, including securities transactions, asset management and disclosure obligations. She also served with the Financial Supervisory Service (FSS), representing the FSS in numerous financial regulatory and litigation matters.

From 2008 to 2011, Ms. Kim was in-house legal counsel for NH Investment & Securities (formerly Woori Investment & Securities), advising on a wide range of matters related to financial institutions, including securities acquisitions, IPOs, PFs and disputes related to sales of financial investment instruments.

From 2011 to 2021, Ms. Kim served with the FSS, focusing on diverse legal issues related to financial supervisory laws and regulations, such as the Capital Markets Act. She advised on matters related to audits and sanctions of asset management companies, investment advisory companies, and cooperative financial institutions, while serving in the FSS' Asset Management Examination Department and Cooperative Finance Examination Department; she also managed and supervised the FSS' litigations and advised on restrictions, approvals and permits on business activities of financial investors within the FSS' Legal Affairs Department; and she advised on corporate disclosures, including reports on material facts, disclosures of issuance information, large shareholdings reports and return of short-swing profits, within the FSS' Corporate Disclosures Department. She also regularly lectured on corporate disclosures at the Korea Listed Companies Association and the KOSDAQ Listed Companies Association.

Ms. Kim holds a B.A. in Education from Seoul National University.

She is a member of the Korean Bar.

## Education

2001                      Seoul National University (B.A. in Education)

## Experience

2021-Present      JIPYONG LLC (Partner)  
2023-Present      Member, ESG Management Committee, Korea Inclusive Finance Agency  
2022-Present      Director, Financial Lawyers Association, Korean Bar Association  
2022-Present      Expert Advisor, the Committee of the Mediation of Financial Disputes, Financial Supervisory Service  
2020-2021          Attorney, Corporate Disclosure Team, Corporate Disclosure Department, FSS  
2017-2020          Attorney, Examination Planning Team, Cooperative Finance Examination Department, FSS  
2014-2016          Attorney, Legal Affairs Department, FSS  
2011-2014          Attorney, Examination Planning Team, Asset Management Examination Department, FSS  
2008-2011          Attorney, NH Investment & Securities (formerly, Woori Investment & Securities Co., Ltd.)  
2006                  Judicial Research and Training Institute, Supreme Court of Korea

## Notable Achievements

- Advised on the response to financial regulatory sanctions against a domestic securities firm in connection with Optimus Fund
- Advised on the response to financial regulatory sanctions against a domestic bank in connection with Lime Fund
- Advised on the response to Financial regulatory inspections of domestic securities firms involved in CFD
- Advised on the compensation plan for in private equity investors
- Advised Financial companies and fintech firms involved in the Act on the Protection of Financial Consumers
- Advised an art sculpture business operator Together Art on reorganization of business structure and issuance of investment contract securities
- Advised on the virtual asset and securitization of tokens and NFT
- Advised on the response to unfair trade investigations by the Financial Supervisory Service against officers of domestic listed companies
- Advised on the response to accounting supervision of Financial Supervisory Service of domestic public companies

## Honors and Recognitions

2016          Financial Supervisory Service Governor's Citation

## Speaking Engagements and Publications

2023          Financial Consumer Protection Act Commentary (Co-author), Pakyoungsa

2022          Financing and Real Estate Transactions in Korea: Legal Issues and Market Practice (Co-author),  
Pakyoungsa

## Bar Admissions & Qualifications

2006          Korea

## Languages

Korean / English